

Section 11

S&H Form: PTO/SB/17 (2/01)



RESPONSE TO NOTICE TO FILE MISSING PARTS	Attorney Docket No.	1619.1014
	Application Number	09/939,722
	Filing Date	August 20, 2001
	First Named Inventor	Hironobu KITAJIMA
	Group Art Unit	Unassigned

Title:	SERVICE BROKERING APPARATUS, SERVICE BROKERING METHOD, AND SERVICE BROKERING PROGRAM
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Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed September 28, 2001 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☒ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- ☒ Formal Drawings (11 Sheets; Figs 1-11)
- ☐ English-language translation of application (with Translator's Statement (pages __))
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- | | |
|---|------------------|
| <input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a) | \$ 740.00 |
| <input type="checkbox"/> The additional claim(s) fee (claims over 20) | \$ |
| <input checked="" type="checkbox"/> The additional independent claim(s) fee (3 claims over 3) | \$ 252.00 |
| <input type="checkbox"/> Multiple dependent claims | \$ |
| <input type="checkbox"/> Petition for Extension of Time (<u>-month</u>) | \$ |
| <input type="checkbox"/> English language translation fee | \$ |
| <input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e) | \$130.00 |
| SUBTOTAL FEES: | \$1122.00 |
| <input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27) | .00 |
| SUBTOTAL FEES: | \$1122.00 |
| TOTAL FEES DUE: | \$1122.00 |

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

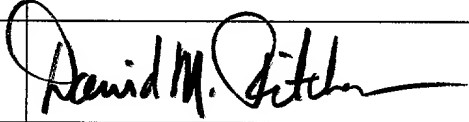
AUTHORIZATION

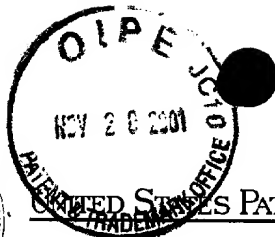
- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	David M. Pitcher	Reg. No.	25,908
Signature		Date	November 20, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,722	08/28/2001	Hironobu Kitajima	1619.1014

CONFIRMATION NO. 4071

FORMALITIES LETTER



OC000000006815021

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

Date Mailed: 09/28/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/21/2001 HBERHE 00000043 09939722
740.00 DP
130.00 DP
252.00 DP
01 FC:101
02 FC:105
03 FC:102

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]